

# RESTRICTED BROKER-ASSOCIATE AFFILIATION NOTIFICATION

DRE USE ONLY

DRE USE ONLY

RE 215A (New 6/24)

DRE RECEIVED DATE

- This form is to be used for restricted broker-associate affiliations only. Unrestricted broker associates should use form RE 215
- A Broker-Associate is an individual licensed as a real estate broker, but who works in the capacity of a salesperson for another responsible broker or corporation.
- **Read instructions on page two before completing.**
- **Note:** License changes marked with an asterisk may be completed online by utilizing *eLicensing*.

### TYPE OF CHANGE

[Check appropriate box(es)]

- ADD RESPONSIBLE BROKER/CORPORATION AFFILIATION
- DISCONTINUE RESPONSIBLE BROKER/CORPORATION AFFILIATION
- TELEPHONE/EMAIL ADDRESS\*
- MAILING ADDRESS\*

## BROKER-ASSOCIATE INFORMATION

1. LICENSE IDENTIFICATION NUMBER

*DRE USE ONLY*

2A. BROKER-ASSOCIATE NAME — AS IT APPEARS ON LICENSE. — LAST

2B. FIRST

2C. MIDDLE

2D. SUFFIX

3\*. BROKER-ASSOCIATE MAILING ADDRESS — STREET ADDRESS/P.O. BOX, CITY, STATE, ZIP CODE

4\*. CURRENT TELEPHONE NUMBER

(      )

5\*. CURRENT EMAIL ADDRESS

6. DATE OF COMMISSIONER DECISION

7. CASE NUMBER

H -

### RESTRICTED BROKER-ASSOCIATE CERTIFICATION

I HEREBY CERTIFY THAT THE ABOVE INFORMATION IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

8. SIGNATURE OF RESTRICTED BROKER-ASSOCIATE — MUST BE ORIGINAL OR ELECTRONIC\*\* SIGNATURE.

DATE



\*\* Electronic signature must comply with California Uniform Transactions Act (CA Civ. Code section 1633.1 et.seq.)

## NEW RESPONSIBLE BROKER/CORPORATION INFORMATION

9. BROKER/CORPORATION ID NUMBER

*DRE USE ONLY*

10. BROKER-ASSOCIATE AFFILIATION DATE

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11. BROKER/CORPORATION NAME — AS IT APPEARS ON LICENSE; NO DBA'S

12. MAIN OFFICE ADDRESS OF BROKER/CORPORATION — STREET ADDRESS, CITY, STATE, ZIP CODE

### RESPONSIBLE BROKER/CORPORATION CERTIFICATION

I hereby certify that I have read the Decision of the Real Estate Commissioner referenced above, which provides for the issuance of a restricted real estate license and/or Mortgage Loan Originator (MLO) license endorsement. I will carefully review all transaction documents which the restricted licensee prepares and will otherwise exercise close supervision over the licensed activity of the above named licensee. Should he/she violate any of the conditions of the restricted license and/or MLO license endorsement, I will immediately notify the Real Estate Commissioner in writing.

I hereby certify that: a) The above information is true and correct to the best of my knowledge, and b) there is a written agreement with this licensee on file in my office as required by Section 2726 of the Commissioner's Regulations.

13. SIGNATURE OF NEW BROKER/LICENSED OFFICER — MUST BE ORIGINAL OR ELECTRONIC\*\* SIGNATURE

DATE



14. PRINTED NAME OF #13 SIGNER

15. BROKER/CORPORATION EXPIRATION DATE

16. BUSINESS TELEPHONE NUMBER

(      )

## FORMER RESPONSIBLE BROKER/CORPORATION INFORMATION

17. BROKER/CORPORATION ID NUMBER

18. DATE BROKER-ASSOCIATE AFFILIATION DISCONTINUED

19. BROKER/CORPORATION NAME — AS IT APPEARS ON LICENSE; NO DBA'S

20. SIGNATURE OF FORMER BROKER/LICENSED OFFICER — MUST BE ORIGINAL OR ELECTRONIC\*\* SIGNATURE

DATE



## DRE USE ONLY

PROC. #

DATE PROCESSED

SENDER'S #

DATE SENT

FORM LETTER/COMMENTS

DOCUMENT DATE USED & TYPE (circle one)

RD AD KD

**General Information**

- Type or print clearly in ink (black or blue; do not use red).
- Receipt of this form will not be acknowledged.
- Business and license mailing addresses are public information and as such are posted on the Internet and available from DRE via telephone and written requests. Please consider this when identifying a license mailing/business address.
- If you electronically re-create this form to facilitate completion on a computer, the form should not be altered in any manner. Also, please make certain you do not delete any preprinted information and are using the latest version of the form.

**Pertinent Excerpts of Business and Professions Code 10161.8**

- (a) The commissioner shall specify the manner in which a responsible broker shall provide notice to the commissioner of an affiliation with a real estate licensee or by which a real estate licensee shall provide notice of a change of address or affiliation.
- (c) Whenever a responsible broker retains a real estate broker to conduct activities requiring a license, the responsible broker shall provide notice to the commissioner in a manner specified by the commissioner.
- (d) Whenever an affiliation with a real estate licensee is terminated, the responsible broker shall provide notice to the commissioner in a manner specified by the commissioner.

**Type of Change**

Check the appropriate box(es) on page 1 and complete the item numbers listed below.

- Add responsible broker/corporation affiliation..... #1–16 (required) & 17-20 (optional)
- Affiliation discontinued by responsible broker/corporation ..... #1, 2, and 17-20
- Affiliation discontinued by broker-associate ..... #1-8, & 17-19

**Duplicate License** – License certificates can be printed online anytime using eLicensing.

**Mailing Address** – The mailing address (*post office box, residence or business address*) is used to mail correspondence to you. If your mailing address is that of your responsible broker or corporation, please note “c/o” (*in care of*) your responsible broker or corporation.

**Non-California Residents** – If residing outside the State of California, a Consent To Service Of Process (RE 234) is also required, if not already on file.

**Note To Responsible Broker/Corporation**

**Responsible Broker/Corporation ID# and Name** – If you are licensed both as an individual broker and as an officer of a corporation, enter the appropriate ID# and name.

- If the broker-associate will be working for you under your individual license, enter the name and ID# for that license.
- If the broker-associate will be working for you under your corporation officer’s license, enter the corporation name and ID# for the corporation.
- Do not list DBA’s on this application.

**Mailing Information**

This application may be submitted in person at any district office or mailed to the Sacramento office.

**Mail To:** Department of Real Estate  
651 Bannon Street, STE 504  
Attn. Flag Section  
Sacramento, CA 95811

**PRIVACY INFORMATION:**

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.  
 Department of Real Estate Assistant Commissioner  
 651 Bannon Street Licensing & Administration  
 Sacramento, CA 95811 Telephone: 877-373-4542  
 General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Professions Code authorizes the maintenance of this information.  
 Business and Professions Code Sections 30, 31(e) and 494.5(d) require each real estate licensee to initially provide to the Department of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes. These code

sections also require that each application for a new license or renewal provides notification on the application, that the Department of Tax and Fee Administration and the Franchise Tax Board will share taxpayer information with the Department of Real Estate, and that failure to pay tax obligations may result in a suspension or denial of a license. The Board of Equalization and Franchise Tax Board require the Department to collect social security numbers and federal taxpayer identification numbers for the purposes of matching the names of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. Your social security numbers and federal taxpayer identification number shall not be deemed public records and shall not be open to the public for inspection.  
 The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a

license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.  
 The information requested in this form is primarily used to furnish license status information to the Department’s Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.  
 This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff’s Departments, District Attorneys, Attorney General, F.B.I.), and any other regulatory agencies (i.e., Department of Business Oversight, Department of Insurance, Department of Consumer Affairs, California Bar Association).